



Sovereign Pension Services Limited

Level 5, St. Julians Business Centre, Elia Zammit Street, St. Julians STJ 3153 Malta
Tel: +356 2788 8132 | maltapensions@SovereignGroup.com | SovereignGroup.com

FEE DISCLOSURE FORM

IMPORTANT: This form should be completed and signed by both the Member and their appointed investment adviser and should then be emailed to maltadealings@SovereignGroup.com. **Dealing instructions will not be processed until this fully completed form is received and checked.**

Sovereign Pension Services Limited, acting as the Retirement Scheme Administrator to the retirement benefit scheme detailed below, is required by its regulator, the Malta Financial Services Authority, to ensure full disclosure of all investment costs associated with the investments within the Scheme. This includes all costs, commissions, and fees whether investment costs, transaction costs, or both (including one-off and recurring costs) which a Member is expected to bear.

The investment provider dealing instruction must also accompany this form.

Scheme name: _____ Membership number: _____

Member's name: _____

Investment provider: _____

Policy/account number: _____

Member's signature: _____

Date: ____/____/____

Investment Adviser's signature: _____

Date: ____/____/____

Details of Investments

Name of Investment	ISIN	Investment Amount	Total Entry Charge (%) ¹	% of Initial Charge payable to your investment adviser ²	Ongoing Charges Figure (OCF) ³	% of OCF payable to your investment adviser (if any) ⁴

Declaration

This declaration is to be completed by the Member.

I confirm that:

- My appointed investment adviser has explained in full, all fees and commissions applicable to the selected investments as detailed on this Fee Disclosure Form.
- My appointed investment adviser has provided me with the Key Investor Information Document (KIID) and Fact Sheet for each investment, which I have read and understood prior to signing this document.

Member's signature: _____

Member's full name: _____ Date: ____/____/____

- ☐ I confirm that I have given due consideration to the Sustainable Finance Disclosure Regulation (Regulation (EU) 2019/2018 of the European Parliament) with respect to the investment instructions being submitted and any relevant sustainability risks have been discussed with my client.

Investment Adviser's signature: _____

Investment Adviser's full name: _____

Investment Adviser's company's name: _____

Date: ____/____/____

¹This is the maximum that might be taken out of your money *before* it is invested by the Fund Manager. In respect of Structured Notes this represents the total percentage commission within the product and the total percentage paid to the investment adviser company.

²This represents the percentage from the Initial Charge which is paid to your investment adviser. In respect of Structured Notes this represents the total percentage paid to the individual investment adviser.

³The OCF represents the fund's professional fees, management fees, audit fees and custody fees.

⁴This represents the percentage from the Ongoing Annual Fee which is paid annually to your investment adviser for as long as you hold the investment, also known as Trail Commission.