



**Sovereign Pension Services Limited**

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**FEE DISCLOSURE FORM**

**Please complete this form in BLOCK CAPITALS**

**IMPORTANT:** This form should be completed and signed by both the Member and their appointed financial adviser and should then be emailed to [maltadealings@SovereignGroup.com](mailto:maltadealings@SovereignGroup.com). **Dealing instructions will not be processed until this fully completed form is received and checked.**

Sovereign Pension Services Limited, acting as the Retirement Scheme Administrator to the retirement benefit scheme detailed below, is required by its regulator, the Malta Financial Services Authority, to ensure full disclosure of all investment costs associated with the investments within the Scheme. This includes all costs, commissions, and fees whether investment costs, transaction costs, or both (including one-off and recurring costs) which a Member is expected to bear.

**The investment provider dealing instruction must also accompany this form.**

Scheme name: \_\_\_\_\_ Membership number: \_\_\_\_\_

Member's name: \_\_\_\_\_

Investment provider: \_\_\_\_\_

Policy/account number: \_\_\_\_\_

Member's signature: \_\_\_\_\_

Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

Financial Adviser's signature: \_\_\_\_\_

Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

Sovereign Pension Services Limited (SPSL) is licensed as a retirement scheme administrator by the Malta Financial Services Authority (MFSA). SPSL administers various schemes. The schemes are licensed and regulated by the MFSA as personal retirement schemes. The licence of the respective schemes is not an endorsement by the MFSA of the scheme's financial performance. There exists no statutory provision for compensation in the case where the Scheme is unable to satisfy the liabilities attributable to it.

## Details of Investments

Name of Investment	ISIN	Investment Amount	Total Entry Charge (%) <sup>1</sup>	% of Initial Charge payable to your financial adviser <sup>2</sup>	Ongoing Charges Figure (OCF) <sup>3</sup>	% of OCF payable to your financial adviser (if any) <sup>4</sup>

## Declaration

*This declaration is to be completed by the Member.*

I confirm that:

- My appointed financial adviser has explained in full, all fees and commissions applicable to the selected investments as detailed on this Fee Disclosure Form.
- My appointed financial adviser has provided me with the Key Investor Information Document (KIID) and Fact sheet for each investment, which I have read and understood prior to signing this document.

Member's signature: \_\_\_\_\_

Member's full name: \_\_\_\_\_

Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

Financial Adviser's signature: \_\_\_\_\_

Financial Adviser's full name: \_\_\_\_\_

Financial Adviser's company's name: \_\_\_\_\_

Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

<sup>1</sup>This is the maximum that might be taken out of your money *before* it is invested by the Fund Manager. In respect of Structured Notes this represents the total percentage commission within the product and the total percentage paid to the financial adviser company.

<sup>2</sup>This represents the percentage from the Initial Charge which is paid to your financial adviser. In respect of Structured Notes this represents the total percentage paid to the individual financial adviser.

<sup>3</sup>The OCF represents the fund's professional fees, management fees, audit fees and custody fees.

<sup>4</sup>This represents the percentage from the Ongoing Annual Fee which is paid annually to your financial adviser for as long as you hold the investment, also known as Trail Commission.